Design of Emerging Mixed-Signal Controlled SMPS

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University of Toronto

- Formed in 1827
- 84,000 students
- Around 12,500 faculty members
- Yearly budget exceeds \$2 billion
- Research grants and contracts \$1.2 billion/year





University of Toronto

•The largest and most known University in Canada

- Constantly ranks among top 10 20 in the world
- 10 Nobel Laureates (5 in the last 20 years)
- Sir Frederick Banting and J.J.R. Macleod won the Nobel Prize in 1923 for their work with Charles Best in the discovery of the role of insulin in controlling diabetes





The Edward S. Rogers Department of Electrical and Computer Engineering

- Formed in 1909
- 2,500 students
- 85 faculty members
- The ECE department constantly ranks among top in the world

• Notable faculty members: Ted Davison, W.M. Wonham, Bruce Francis, Kenneth Smith, Adel Sedra, Andre Salama





Research Groups





About High Power Density Power Supplies from









- Allowed a large number of households to have daily access to information
- *Reduced the volume and weight by using more efficient electronics (increased power density)*

Main motivation: to reduce the volume and weight of power supplies, by far the largest part of previous radios

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Our Seminar Covers The Same Ideas: Outline



Main motivation: to reduce the volume and weight of switch mode power supplies (SMPS) while improving power processing efficiency.

Part I: Introduction to High Power Density SMPS

Switch Mode Power Supplies (SMPS) and their applications

- Power management system architectures and applications
 - Typical SMPS functions and structures
 - Power stage topologies and controllers





http://www.cpes.vt.edu/



Part I: Introduction to High Power Density SMPS

Switch Mode Power Supplies (SMPS) and their applications

- Requirements for high power density SMPS (volume and efficiency)
- Controller architectures

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- Voltage mode controllers (VMC)
- Current programmed control (CPM)
- Controllers for frequency-regulated topologies



Part I: Basic Principles of Volume Reduction (Capacitors)

Volume of Reactive Components – Capacitors

- Size of the output and input filter capacitors
 - Energy storage capacity and the capacitor volume
 - Capacitor volume drivers (nominal voltage & charge fluctuation)
- Switching frequency, charge fluctuation (ripple), and design tradeoffs
- Feedback loop and charge fluctuation (voltage deviation)
 - Relation between the capacitor volume and transient response
 - Design tradeoffs (size of the input filter)
 - Influence of the nominal voltage on the charge fluctuation







Part I: Basic Principles of Volume Reduction (Inductors)

Volume of Reactive Components – Inductors

- Size of filter and energy transfer inductors
 - Energy storage capacity and inductor volume
 - Inductor volume drivers (peak current & flux linkage)
 - Principles of flux linkage minimization
 - Influence of the inductor current
- Influence of feedback on the inductor volume (inductor peak current)

-Converter topologies and inductor volume (flux linkage) reduction





Part I: Power Losses, Efficiency, and Volume Reduction

Power Losses and Volume

- Relation between power losses and cooling requirements
 - Hot spots and heat sinks
 - Distribution of power losses
 - Emerging components
- Influence of feedback on the converter efficiency

Part I: Main Volume Drivers in Conventional Topologies - Examples

- Point of load converters (PoL Converters)
- Ac/dc converters (Boost and Flyback based solutions)
- Resonant topologies



Heat sink

http://murata.com/



Part II: Analog, Digital and Mixed-Signal Control of Power Converters

Review of Control Methods and Practical Challenges

- Voltage mode control methods (PWM, voltage hysteresis, V²)
- Current mode controllers (Average & peak CPM control)
- Frequency based control:
 - Light load control methods
 - Control of resonant converters
 - Control of DAB (and back-to-back VSC topologies)

System Modeling and Practical Implementation of Analog Controller

- Modeling of voltage loop controlled system and design of control loop
 - Practical implementation challenges
- Control loop design for current programmed mode controllers
 - Practical implementation challenges

Part II: Digital and Mixed-Signal Controllers

- Digital and Mixed-Signal Control
 - Comparison of analog, digital and mixed-signal controller implementations
 - Current state of the art (penetration of digital in low power applications)
 - Power consumption, complexity, and silicon area
 - Discretization effects and limit cycling

Digital Controller Implementation and Design Examples

- Modeling of voltage loop controlled system and design of control loop
- Principle of silicon and power efficient controller implementation
 - Determination of minimum hardware requirements
- Hardware-efficient implementation of functional blocks and on-chip implementation



Part III: Advanced Mixed-Signal Controllers

Auto-tuning (plug and play) controllers

- Network emulator based control
- Limit-cycling based solutions
- Controllers for on-line efficiency optimization

Optimal response controllers

- Optimal (proximity) time controllers
- Minimum deviation controllers (for direct and indirect energy converters)
- Optimal control of resonant (LLC) converters





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Part III: Advanced Mixed-Signal Controllers

Advanced controllers for rectifiers with power factor correction (PFC)

- -PFC rectifier with predictive current control
- PFC with wide-bandwidth voltage loops



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Seminar Outline



Part IV: High Power Density Mixed-Signal Controlled Dc-Dc Converters

Physical Limits of Conventional Topologies and Principles of Volume Reduction

- Transient and efficiency limits
- Basic principles of volume reduction (short review)

Multi- Level and Hybrid Dc-Dc Converters

- Multi-level converters (basic principles)
- Switched capacitor (SC) and hybrid converters
- Design examples

Modular Dc-Dc Converters

- Modular and partial power processing ideas
- Design examples





Part V: High Power Density Mixed-Signal Controlled Ac-Dc PFC Converters

Review of Conventional Rectifiers with PFC Architectures

- DCM flyback
- Boost based PFC
- Inductor and capacitor volumes, cooling requirements

PFC with Ripple Cancellation

- Design challenges and principle of operation
- Controller design for ripple-cancellation systems



Part I

Introduction: Applications, Power Management Systems and SMPS Architectures



Portable Devices (fraction of W to several W-s) billions/year

- Power supplies for functional components / hundreds of mW to few Watts
 - Point of Load converters
- Power management modules/ tens of Watts
- Adapters/chargers/ tens of Watts

Lighting Applications (several W to tens of W)

• LED and HID Lamp Supplies (drivers)



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SMPS in Portable/Consumer Electronics



http://www.ifixit.com/Teardown/iPad-FCC-Teardown/2197/1



• *Dc-dc SMPS occupy between 12% and 80% of the total volume in modern electronics devices, communication equipment, computers...*

Portable, Consumer Electronics, Comm. Syst.





Power from a fraction of a watt to hundreds of watts

Power management systems often have more than 30 different supplies

Computers, Comm., and Consumers Electronics (up to few hundred W)

- Power supplies for components/ several watts
- Voltage Regulator Modules (VRM-s), i.e. processor supply/ around 100 Watts
- \bullet Off-line power supplies and rectifiers with PFC / up to kW







Automotive (several hundreds of watts to tens of kW)

- In car electronics and lighting (tens of watts to hundred of watts)
- Engine management unit and injection systems (hundreds of watts)
- Hybrid/electric drivetrains and chargers (up to tens/hundred of kW)



http://www.toshiba-components.com/automotive/evs.html



Servers and Server Farms (often MWs of total power)

- High step down ratio dc-dc converters (hundreds of watts)
- Ac/dc and dc/dc storage-connected units (up to tens of kW)







Requirements for SMPS

- Low-volume and weight implementation (mobile, automotive)
- Low steady state power consumption (mobile, consum. electronics)
- High power efficiency (servers, consumer electronics, mobile)
- •*Cost-driven applications (all, mobile in particular)*
 - "1 cent is a lot of money in mobile industry" F. Carabolante

-Budgets given for total volume and quiescent power consumption are usually very constrained

-Many functional blocks of modern devices compete for volume and power



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SMPS Topologies and Basic Principles (Buck)





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SMPS Basic Principles: Buck Example



- Higher switching frequency implies smaller LC components.
- Ideally, no losses (in reality high efficiency).



Common Controller Structures (Dc-Dc Converters)



PWM voltage mode control



Direct control of the output voltage



Indirect control, by setting the current for the next switching cycle (Note)

Common Controller Structures (Dc-Dc Converters)



http://www.cpes.vt.edu

V² control

In this case information about the capacitor current (rather than inductor current is used) – fast response and stability



http://www.ti.com

Hysteretic Control

Variable frequency control based on output voltage measurement only

Vout

Load

Volume of the SMPS

 $V_{in} \perp$



Inductors



components and cooling componentsThe passives often dominate the overall

+

 v_D

Μ

cost of the supplies

• *Most of the volume occupied by passive*





Rectifier with power factor correction (PFC), also known as PFC rectifier, is also bulky, often, by far the largest element

PFC in Hybrid and Plug-In Hybrid Electric Vehicles (PHEV) _____ PFC





http://www.toshiba-components.com/automotive/evs.html

Conventional Boost Based PFC Rectifier + Downstream



Boost converter controlled by a current programmed mode



• Downstream stage usually steps down from 400 V to 48 V, 24 V or 12 V

Conventional Boost Based PFC Rectifier




Conventional Boost Based PFC – Low Bandwidth V. Loop





The voltage loop must not attempt to eliminate the output capacitor ripple through Re variations. Hence, it is usually designed to be very slow. We have a bulky high voltage cap.

Conventional Boost Based PFC Rectifier



- Very large boost inductor due to a large voltage swing (400 V)
- Bulky heat sink to cool down the switch (and diode)



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LLC Resonant Converter as the Downstream Stage



- Lr, Lm and Cr form a resonant circuit
- The control is performed by regulating frequency of the half bridge

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LLC Resonant Converter as the Downstream Stage



- The gain of resonant circuit defines conversion ratio
- The input of the resonant circuit can behave as an inductor or capacitor
- Due to slow regulation the output capacitor is usually very large

Dual-Active Bridge (DAB) as a Bidirectional Stage



Bidirectional dc-dc converters also frequently used



Dual active bridge as the most common configuration

Dual-Active Bridge (DAB) as a Bidirectional Converter



• Can operate as a bidirectional ac-dc or dc-dc converter



Dual-Active Bridge (DAB) as a Bidirectional Converter





 Power can be regulated through phase modulation (PM) between two bridges and/or through frequency regulation

Applications/Topologies to be Analyzed



Frequency controlled

Frequency/ PM controlled



• Throughout the seminar these 4 classes of converters will be primarily analyzed (principles can be extended to other topologies/classes)

Passive Components Volume & Fundamental Volume Reduction Principles



Passive Components Volume & Fundamental Volume Reduction Principles



• Volume of reactive components is proportional to their energy storage capacity



Volume Reduction Principle

All of the following volume reduction methods that will be presented here are centered around reduction of the energy storage requirements

$$Volume_C \approx k_1 \left(W_{cap} \right) = k_1 \left(\frac{1}{2} C V^2 \right)$$

Volume $_L \approx k_2 (W_{inductor}) = k_2 (\frac{1}{2} L I^2)$

Since in the applications of interest most often: $k_1 < < k_{2_1}$ i.e. the energy storage capacity per unit volume of the capacitors is larger than that of the inductors, we will be looking at an increased use of the capacitive energy transfer/conversion.

Side benefits (byproducts): Reduction of voltage/current stress of the components allowing for power processing efficiency improvements and cost-effective implementation .



- Potential for operation at higher switching frequencies

Volume Reduction

Side benefits (byproducts): Reduction of voltage/current stress of the components allowing for power processing efficiency improvements and cost-effective implementation .

Drawbacks/Challenges: In some applications the capacitors have lower reliability and the complexity of topologies/control method significantly increases



Heat sink

Volume Reduction vs. Efficiency (Bulky Components)



http://www.murata-ps.com/en/news/new-products/214

• Volume reduction without efficiency improvement can increase the size of the heat sink.



=> We also need to improve efficiency and/or reduce temperatures of hot spots (loss distribution)

Capacitor Volume Reduction Through Charge Swing Minimization - Basic Principles



Capacitor Volume Reduction (Reduction of Supply Voltage)



- Trend of reducing supply voltages in PoL (V_{out}) helps us but, at the same time, increasing power requirements create challenges
 - Increased current and conduction losses (i.e. larger inductor)
 - Reduced slew rate and slower transient response for heavy-tolight load transients



Capacitor Volume Reduction (Reduction of Supply Voltage)





 Heavy-to-light load transient and the influence of the inductor slew rate (lower output voltage)

Capacitor Volume Reduction – Basic Principles



- *C* reduction through minimization of the capacitor charge swing (variation) => reduction of the capacitance value

We will focus on this principle



Sizing of the Output Capacitor and Charge Swing



Capacitor sizing criteria (based on maximum allowable voltage variations), i.e. charge swing:

 $\Delta q = C \Delta V$

$$\Delta v_{out} = \frac{\Delta q_{ripple} + \Delta q_{transient}}{C}$$

In point-of-load converters (and number of other applications) the ripple component of the charge swing Δq_{ripple} is often much smaller than that of the transient component $\Delta q_{transient}$.



=> The output capacitors size is in most cases determined by the dynamic performance of the controller

Sizing of the Output Capacitor and Charge Swing





The faster the controller brings inductor current to the new load value the less charge Δq_{trans} capacitor needs to give and, therefore, for the same output voltage deviation $\Delta V_{transient}$ we can use a smaller capacitor.

Conventional Methods for Charge Swing Reduction

- Operation at higher switching frequencies for reducing $\Delta q_{tripple}$ (also reduces the inductor value)
- Fast dynamic response of the controller for reducing $\Delta q_{transient}$





A fast response controller operating at a high switching frequency can drastically reduce the volume of the SMPS.

Influence of the Controller on the Size of the Output Capacitor

Due to slower dynamic response, i.e. larger filter requirement..



Faster controller



Slower controller requires 3 times larger output capacitor to achieve the same output voltage deviation as the faster one



Inductor Volume Reduction Through Flux Linkage Minimization - Basic Principles



Inductor Volume Reduction – Basic Principles



$$Volume_L \approx k_2 \left(\frac{1}{2}LI^2\right)$$

 k_2 - depends on the type of inductor

Principles for the inductor volume reduction/elimination :

- Current division (reduction of the inductor current), will see that it does not actually reduce the output filter inductor (common misconception)



- Inductor volt-second swing reduction (novel converter topologies) Main focus

Current Sharing – Basic Principle





• Each phase conducts 1/N of the current of the original converter

Current Ripple



$$\Delta I_L = \frac{(V_g - V)DT_s}{L} = \frac{V(1 - D)T_s}{L}$$

Current ripple influences the rms current (conduction) losses and output capacitor ripple.



Inductor Volume Reduction – Basic Principles



$$Volume_L \approx k_2 N \left(\frac{1}{2} (NL) \left(\frac{I}{N}\right)^2\right)$$

The same total inductor volume as for the original case

- To have the same total ripple the inductor in each phase needs to be *N* times larger
- However, the input filter is reduced significantly



Flux Linkage Minimization





$$\Delta I_L = \frac{(V_g - V)DT_s}{L} = \frac{V(1 - D)T_s}{L}$$

To reduce the inductor we can reduce the flux linkage λ

- Conventional solutions try to increase the switching frequency (reduce *Ts*)
- As we will see λ can be also reduced by changing converter topologies

focus



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Volume Reductions Addressed Through Seminar





Part II

Controller Design: Review of Conventional Analog Controller Design, Analog Implementation, Digital Controller Design and Digital Implementation



Review of Analog Controller Implementation





Voltage mode control taken as an example, the principles can be extended to other control methods. In fact the other methods often result in simpler system dynamics.

Controller Design - Overview

Dc and ac equivalent circuit modeling of converters

Compensator design and analog controller implementation

□ *Modification of the model to accommodate digital controller implementation*

Limit cycling and other quantization effects

Digital compensator design and practical implementation



DC and Small-Signal ac Modeling, Converter Transfer Functions



Review of Modeling Steps

Converter in equilibrium and average values

□ The small-ripple approximation, inductor volt-second balance, and capacitor-charge balance (conversion ratio and average currents)

Dc equivalent circuits and modeling of losses

Ac equivalent circuit modeling and converter transfer functions, modeling of basic functional blocks

Compensator design and analog controller implementation



DC Modeling – Converters in Equilibrium

Three basic principles of the SMPS analysis

- 1. The small-ripple approximation
- 2. Inductor volt-second balance
- 3. Capacitor-charge balance

These three principles are applicable for all converter topologies and used for both dc and ac analysis and modeling.



DC Modeling: Small-Ripple Approximation (SRA)



We approximate

$$v(t) = V + v_{ripple} \approx V$$

<u>The small-ripple</u> approximation (SRA) !

 $0 < t \le DTs$ "on state" the switch is in position 1

 $DTs < t \le Ts$ "off state" the switch is in position 2 $V = DVg \leftarrow \cdots$

Intuitively



D is duty ratio,
$$0 \le D \le 1$$

D' = 1-*D*

DC Modeling: Small-Ripple Approximation (SRA)



 $0 < t \le DTs$ "on state" the switches are in position 1

 $DTs < t \le Ts$ "off state" the switches are in position 2

 $V = f\{D, Vg\} = ?$


DC Modeling: Inductor Volt-Second Balance



 $0 < t \le DTs$ "on state" the switch is in $v_L = v_g - v$ position 1

 $DTs < t \le Ts$ "off state" the switch is in $v_L = -v$ position 2



Inductor Volt-Second Balance: Initial Current Waveform



Inductor Volt-Second Balance

$$i_L(nT_s) = i_L((n+1)T_s) \longrightarrow i_L((n+1)T_s) = \frac{1}{T_s} \int_{nT_s}^{(n+1)T_s} v_L(t)dt + i_L(nT_s) = 0$$

For already established equilibrium

$$\left\langle v_L(t) \right\rangle_{Ts} = \frac{1}{T_s} \int_0^{T_s} v_L(t) dt = 0$$

Inductor volt-second balance



In steady-state the average value of the inductor voltage over one switching cycle is zero!

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Combining SRA and Inductor Volt Second Balance



Small-ripple approximation

$$v(t) \approx V$$
$$v_g(t) \approx V_g$$



Inductor volt-second balance

$$\left\langle v_{L}(t)\right\rangle_{T_{s}} = \frac{1}{T_{s}} \int_{0}^{T_{s}} v_{L}(t) dt =$$
$$= D(V_{g} - V) - D'V = 0$$

 $DV_g = V$



By using SRA and volt-second balance conversion ratio of every converter can be found in minutes.

Capacitor Charge Balance



In equilibrium the output capacitor voltage is constant

$$\left\langle i_{C}(t)\right\rangle_{T_{s}}=\frac{1}{T_{s}}\int_{0}^{T_{s}}i_{C}(t)dt=0$$

<u>Capacitor – charge balance</u>



In steady-state the average value of the capacitor current over one switching cycle is zero!

Combining Capacitor Charge Balance and SRA



Capacitor -charge balance

$$\left\langle i_{C}(t)\right\rangle_{T_{s}} = \frac{1}{T_{s}}\int_{0}^{T_{s}} i_{C}(t)dt = D(I_{L} - V/R) + D'(I_{L} - V/R) = 0 \quad \Longrightarrow I_{L} = \frac{V}{R}$$



By combining SRA and capacitor-charge balance inductor currents of each converter can be found quickly.

General Algorithm for the Calculations of Conversion Ratio and Inductor Currents

- 1. Arbitrary assign voltages to all capacitors and currents to inductors
- 2. Draw equivalent circuits for both portions of the switching interval:
 - Express inductor voltages in terms of the input and capacitor voltages
 - Express capacitor currents in terms of inductor currents and v/R, (or output currents)
- 3. Apply small-ripple approximation (SRA)

$$v(t) = V + v_{ripple} \approx V \qquad \qquad i_{L}(t) = I_{L} + i_{ripple} \approx I_{L}$$

General Algorithm for the Calculations of Conversion Ratio and Inductor Currents

4. Apply inductor volt-second balance to find the conversion ratio

$$\left\langle v_L(t) \right\rangle_{Ts} = \frac{1}{T_s} \int_0^{T_s} v_L(t) dt = 0$$

5. Apply capacitor charge balance to find inductor currents

$$\left\langle i_{C}(t)\right\rangle_{Ts} = \frac{1}{T_{s}}\int_{0}^{T_{s}}i_{C}(t)dt = 0$$



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Buck Converter



Ideal Boost Example





Inclusion of Losses and DC Equivalent Circuits



DC Transformer Model





A dc-dc converter behaves as a "<u>dc transformer</u>" whose conversion ratio is controllable.

Transformers: Basic Rules and Labeling Convention



Element constrains

$$\frac{v_1}{n_1} = \frac{v_2}{n_2} = \frac{v_3}{n_3} = \dots = \frac{v_k}{n_k}$$
$$n_1 i_1 + n_2 i_2 + n_3 i_3 + \dots + n_k i_k = 0$$

Two-winding case

$$v_1 \frac{n_2}{n_1} = v_2$$
$$i_2 = -\frac{n_1}{n_2}i$$



Transformers: Basic Rules and Labeling Convention





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Modeling of Conduction Losses



Inclusion of Losses and Construction of an Equivalent Circuit

- Again, we apply small-ripple approximation, inductor volt-second balance, and capacitor charge balance
- Unlike in the ideal case, volt-second balance, and capacitor charge balance equations are not independent. The current going through resistive components causes voltage drop that affects inductor voltage.
- Need to construct equivalent circuits corresponding to volt-second balance and capacitor charge balance equations and solve them.



Equation describing average input current is also very useful

Volt-Second Equations and SRA



$$\langle v_L(t) \rangle_{T_s} = \frac{1}{T_s} \int_0^{T_s} v_L(t) dt = V_g - R_L I_L - DR_{on} I_L - D' V_F - D' V = 0$$



Capacitor Charge Balance & SRA



$$\left\langle i_C(t)\right\rangle_{T_s} = D'I_L - \frac{V}{R} = 0$$



Average Input Current & SRA







Construction of Equivalent Circuits





DC Equivalent Circuit



Conversion ratio of a realistic boost converter



Conversion ratio of an Realistic Boost





General Algorithm for the Construction of Equivalent Circuit

- 1. Draw equivalent circuits for both portions of the switching interval:
 - Include "on" and "off" models of components
 - Arbitrary assign inductor current and capacitor voltages
- 2. Express inductor voltages in terms of the input and capacitor voltages, products of resistive elements and inductor currents, and diode voltage drops.
- 3. Express capacitor currents in terms of inductor currents and v/R, (or output currents)



Write expressions for the input current in terms of inductor currents.

General Algorithm for the Construction of Equivalent Circuit

5. Apply small-ripple approximation (SRA)

$$t) = V + v_{ripple} \approx V \qquad \qquad i_{L}(t) = I_{L} + i_{ripple} \approx I_{L} \qquad \qquad v_{g}(t) = V_{g} + v_{g_{ripple}} \approx V_{g}$$

6. Apply inductor volt-second balance

$$\left\langle v_L(t) \right\rangle_{T_s} = \frac{1}{T_s} \int_0^{T_s} v_L(t) dt = 0$$

7. Apply capacitor charge balance

$$\left\langle i_C(t) \right\rangle_{T_s} = \frac{1}{T_s} \int_0^{T_s} i_C(t) dt = 0$$



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General Algorithm for the Calculations of Conversion Ratio and Inductor Currents

8. *Find average value of the input current (usually not zero)*

$$\left\langle i_{g}(t)\right\rangle_{Ts} = \frac{1}{T_{s}}\int_{0}^{Ts} i_{g}(t)dt$$

9. From equations obtained through steps 6 to 8 construct an equivalent circuit



Example: Realistic Interleaved Buck Converter



 $0 < t \le D_1 T_s$ MS_1 is in "on state" All transistors and inductors have non-zero $0 < t \le D_2 T_s$ MS_2 is in "on state" resistances



Example: Realistic Interleaved Buck Converter - Equivalent Circuit -





Can be used for power components selection, current sharing analysis, efficiency analysis....

AC Equivalent Circuits Modeling and Converter Transfer Functions



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Power Stage Modeling: Game Plan





Power Stage Modeling: Game Plan



AC Behavior



 $V = DV_g DC$ equations

$$d(t) = D_0 + D_m \sin(\omega t)$$



$$v(t) \neq d(t)V_g \quad \leqslant \quad For \; ac, \; the \; results \; of \; dc \; analysis usually cannot be directly applied$$

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Perturbation Effect



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Creation of a Linear Time-Invariant Eq. Circuit (Reminder)



Three Basic Techniques Used in the Construction of AC Equivalent Circuit

- *1.* Averaging that eliminates switching ripple and the time varying nature of the circuit
- 2. Mathematical, i.e. analytical, perturbation that emulates effect of small signal variation around the operating point
- *3. Linearization that allows us to construct a time-invariant nonlinear model*



Creation of a Small-Signal Eq. Circuit for a Buck Converter (Averaging Inductor Waveforms)





$$\left\langle v_{L}(t)\right\rangle_{T_{s}} = L \frac{d\left\langle i_{L}(t)\right\rangle_{T_{s}}}{dt} = d(t) \cdot \left\langle v_{g}(t)\right\rangle_{T_{s}} - \left\langle v(t)\right\rangle_{T_{s}} \neq 0$$

Perturbation and Linearization

$$\left\langle v_L(t) \right\rangle_{T_s} = L \frac{d \left\langle i_L(t) \right\rangle_{T_s}}{dt} = d(t) \cdot \left\langle v_g(t) \right\rangle_{T_s} - \left\langle v(t) \right\rangle_{T_s} \neq 0$$

Perturbation

$$\begin{aligned} d(t) &= D + \hat{d}(t) & D \gg \hat{d}(t) \\ \left\langle v(t) \right\rangle_{T_s} &= V + \hat{v}(t) & V \gg \hat{v}(t) \\ \left\langle i_L(t) \right\rangle_{T_s} &= I_L + \hat{i}_L(t) & I_L \gg \hat{i}_L(t) \\ \left\langle i_g(t) \right\rangle_{T_s} &= I_g + \hat{i}_g(t) & I_g \gg \hat{i}_g(t) \end{aligned}$$


Combining Perturbation & Averaging Results

$$L\frac{d\left(I_{L}+\hat{i}_{L}(t)\right)}{dt} = \left(D+\hat{d}(t)\right)\cdot\left(V_{g}+\hat{v}_{g}(t)\right)-\left(V+\hat{v}(t)\right)$$





This equation is still time varying nonlinear, due to the 2^{nd} order terms

Linearization

$$\begin{bmatrix} L\frac{dI_L}{dt} + L\frac{d\hat{i}_L}{dt} \end{bmatrix} = \begin{bmatrix} DV_g - V + D\cdot\hat{v}_g(t) + \hat{d}(t)V_g - \hat{v}(t) + \hat{d}(t)\hat{v}_g(t) \end{bmatrix} = \begin{bmatrix} DV_g - V + D\cdot\hat{v}_g(t) + \hat{d}(t)\hat{v}_g(t) + \hat{d}(t)\hat{v}_g(t) \end{bmatrix}$$

Multiplication of two small terms

 $L\frac{dI_{L}}{dt} = DV_{g} - V = 0 \qquad \begin{array}{c} Dc \ terms, \ give \\ us \ dc \ solution \end{array}$

$$L\frac{d\hat{i}_L}{dt} = D \cdot \hat{v}_g(t) + \hat{d}(t)V_g - \hat{v}(t)$$

<u>1st order terms: linear</u> time-invariant equations



The 1st order terms are used for construction of an equivalent circuit

Capacitor and Input Current Averaging







Perturbation and Linearization

$$C\frac{d(V+\hat{v}(t))}{dt} = \left(I_L + \hat{i}_L(t)\right) - \frac{V+\hat{v}(t)}{R}$$

Capacitor current

$$I_{g} + \hat{i}_{g}(t) = (D + \hat{d}(t)) \cdot (I_{L} + \hat{i}_{L}(t))$$

Input current

$$C\frac{d\hat{v}(t)}{dt} = \hat{i}_L(t) - \frac{\hat{v}(t)}{R}$$

<u>1st order terms for</u> <u>capacitor current</u>

$$\hat{i}_g(t) = \hat{d}(t) \cdot I_L + D \cdot \hat{i}_L(t)$$

<u>1st order terms for</u> <u>the input current</u>



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Construction of AC Equivalent Circuit





AC Equivalent Circuit of a Buck Converter





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Summary of the Steps



Boost Converter Example





Converter Transfer Functions



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Creation of a Linear Time-Invariant Eq. Circuit



Buck Converter Example



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$\hat{i}_{g}(t)$ $\hat{i}_L(t)$ L+1:D $\hat{v}(t)$ + $\hat{d}(t)I_L$ $\hat{v}_{g}(t)$ CŚR + $\hat{d}(t)V_{g}$ $\hat{i}_{g}(s)$ sL+1:D $\hat{i}_L(s)$ $\hat{v}(s)$ + $\hat{d}(t)I_L$ $\hat{v}_{g}(s)$ $\gtrsim R$ sC+ $\hat{d}(s)V_g$ EVO

Transfer Into the s-domain

Basic Transfer Functions





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Basic Transfer Functions



Typical Transfer Functions





Control-to-output transfer function of buck-type converters have similar shape

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Right-Half Plane Zero in Boost Type Converters



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Right-Half Plane Zero



$$G_{vc}(s) = \frac{\hat{v}(s)}{\hat{d}(s)} = G_{d0} \frac{\left(1 - \frac{s}{\omega_z}\right)}{1 + \frac{s}{Q\omega_0} + \frac{s}{\omega_0^2}}$$



Right-Half Plane Zero





Explanation of RHZ in Indirect Energy Tr. Converers



For an initial load change Q turns on for a longer period, as a result, initially the capacitor is left without help of inductor and the voltage drops even further (until Q is turned off again)



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Modeling of Pulse Width Modulator





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Frequency Analysis



On-Paper Compensator Design Integral Part





Pole at zero causes infinite DC gain => forces zero error

"On-Paper" Compensator Design PD Part



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Model Limitations

□ Valid for frequencies much smaller than the switching frequency, approximately up to $f_{sw}/10$. Still very efficient in ripple elimination.



The analysis is applicable for small variations around a steady state operating point



Practical Implementation



Conventional (Analog) Implementation



-An analog compensator can be implemented with few Op. Amps, a comparator and several passive components.



- Conventional modeling approach has limited validity, both in terms of frequency and current variations

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Analog Controller IC (One of the Very First)



UC3525 – simplified block diagram

- 1. Voltage reference 1.7 V, 3 V, or 5.1 V
- 2. Error amplifier (a compensator can be built around it)
- 3. Pulse-width modulator
- 4. Soft start-up
- 5. Under-voltage protection
- 6. External shut-down
- 7. Output stage

Digital Controllers: Design and Practical Implementation



Controller Implementation Analog vs. Digital





Analog Implementation



Digital Implementation

Current Situation and Motivation for Using Digital

- Currently, most o solutions for low and medium SMPS utilize analog controller in higher power kWs digital
- Emerging GaN and SiC devices expected to operate at very high frequencies (existing digital controllers might not be fast enough)
- Motivation for moving/finding high-frequency digital solutions

Performance and functionality

- Utilization of auto-tuning and auto learning techniques
- ☐ Fault-tolerant operation
- Dynamic response and efficiency improvements

Reduction of fabrication expenses and reliability improvement



- Design portability and fast development
- □ Monolithic integration on system level

On-Line Auto-Calibration (Plug and Play)



Performs system identification (without direct measurement) and consequent controller selfadjustment

1. Identification of LC product and on-line compensator adjustments



2. Load prediction (estimation) and multi-mode operation improving power processing efficiency

On-Line Auto-Calibration (Plug and Play)





On-line adjustment of controller parameters and multi-mode operation result in better dynamic response and improved efficiency University of Toronto, Rogers ECE Department

Ultra-Fast Transient Response

The fastest possible transient response to load changes



The size depends on the system dynamics (even more than on the ripple)



Utilize digital signal processing to achieve dynamic response approaching physical limitations of a given power stage.

Ultra-Fast Transient Response

The fastest possible transient response to load changes





Utilize digital signal processing to achieve dynamic response approaching physical limitations of a given power stage.

Motivation for Going Digital - Implementation



- Fast development
- (highly automated process)
- Design process is independent on implementation technology, temper.

Digital controllers can be more reliable, implemented with a smaller number of components, and developed faster.





Design

idea

Reduction of Fabrication Expenses and Reliability Improvements

A supply for a digital load/ existing analog solutions



 Three chip solutions implemented in different technologies usually used (low flexibility, significant size, reliability)



 Difficulties in implementing power management techniques such as dynamic and adaptive voltage scaling (AVS/DVS)
Reduction of Fabrication Expenses and Reliability Improvements

Power supply for a digital load – a possible digital implementation





A single or a two-chip realization possible. Results in reduced size, improved reliability, better synchronization of modern electronic loads and their supply systems.

Remote Current and Temperature Estimation



Due to parameter variations currents mismatch occurs



Information contained in digital loop used to provide desired current sharing and temperature monitoring.

The reasons for not going into digital (Design Challenges)



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Design Challenges, Analog vs. Digital: Silicon Area



• Basic control loop can be implemented with a small number of transistors



• Each functional block usually requires larger number of transistors, then the entire analog controller.

Digital Design Challenges: Silicon Area





An analog compensator can be implemented with few Op. Amps, a comparator and several passive components

Design Challenges Controller's Power Consumption







• Power consumption of digital controllers could be much higher (will be addressed soon). In many cases it can exceed that of the supplied load, reducing overall system efficiency and/or limiting maximum switching frequency.

On-Chip Integrated DC-DC Converter



• For low-power SMPS, existing commercial digital controllers could be too slow and/or take much more power and silicon area than the analog solutions.



All digital advantages might be lost due to overly expensive and bulky implementation.

For emerging high frequency SiC/GaN devices the controllers might be slow.

Challenges of Digital Control in Higher Power Applications (Why it is Important to Find HF Hardware Eff. Solutions?)

• Performance required for operation at high switching frequencies could be accomplished only with a very expensive and power hungry processors and ADCs.

•The power consumption of the processors exceeds, often exceeds, 100 W and the total price exceeds \$100 (equivalent to the overall price of a 1,4 kW converter at a price of 7c/W – common price taken in industry today)

• The limitations of off-shelf (commercial ICs) digital solutions could prevent implementation of digital controllers for high power high frequency systems utilizing new devices (GaN and SiC)



Game Plan

Gain a good understanding of the system and its physical limitations

Q Review basic principles of efficient digital design, analyze minimal hardware requirements,

Review of various digital controller architectures

Going beyond feedback loop realization: <u>i.e. practical implementation</u> <u>of smart controllers</u>



Sources of Losses and Basic Principles of Power and Silicon Area Efficient Digital Design



Review: Sources of Losses in Digital Circuits



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Average losses of a logic gate

 $P_{dig} = P_{sw} + P_{sc} + P_{leakage} =$ $= \alpha C_{in} V_{dd}^{2} f_{clk} + \alpha Q_{sc} V_{dd} f_{clk} + I_{leakage} V_{dd}$ $-V_{dd} - supply \ voltage$

 f_{clk} - system clock frequency

 α - probability that the gate is active

 Q_{sc} – charge through the device due to instantaneous conduction of both transistors (short circuit current)

 C_{in} – Capacitive load seen by the inverter

 $I_{leakage}$ – average leakage current caused by low threshold voltages of modern digital logic

Review: Basic principles in efficient digital design

Total losses of a digital circuit

$$P_{tot} = \sum_{i=1}^{N} \left(\alpha_i C_i V_{dd}^{2} f_{clk} + \alpha_i Q_{sc} V_{dd} f_{sw} + I_{leakage_i} V_{dd} \right)$$

N- total number of logic gates

- Decrease clock frequency
- Decrease the total number of logic gates
- □ Minimize the gates activity or number of gates switching frequently



These 3 rules will be used throughout the whole design process.

Limit Cycling, Quantization Effects and Analysis of Minimal Hardware Requirements



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Minimal Hardware Requirements (DPWM & A/D)



When the resolution of the DPWM is too coarse compared to that of the ADC limit-cycling oscillations can occur

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Minimal resolution of the DPWM



Insufficient DPWM resolution



Minimal DPWM resolution satisfied

Steady state condition for the LCO elimination

Dynamic conditions



Steady-State Conditions for No Limit Cycling



A necessary condition to avoid the limit cycle oscillation is that the change in the output voltage caused by one LSB change in the duty ratio has to be smaller than the quantization step of the ADC V_{q_ADC} :

$$V_{q_ADC} > V_g \cdot \Delta M(D)$$



Steady-State Conditions for No Limit Cycling



Boost case:

$$V_{q_ADC} > \frac{V_g}{1 - (D + \Delta D_{LSB})} - \frac{V_g}{1 - D}$$
$$\Delta D = \frac{1}{2^{Ndpwm}}$$

Buck case:



$$V_{q_ADC} > V_{in} (D + \Delta D_{LSB}) - V_g D$$

These conditions need to be satisfied for the first case operating conditions in terms of the quantization steps

Steady-State Conditions for No Limit Cycling

MINIMUM RESOLUTION OF DPWM FOR TYPICAL CONVERTERS

Type of converter/	Minimal resolution of DPWM				
Conversion ratio M(D)					
Buck	$\inf \left[n_{n/d} + \log_2 \left(\frac{V_{ref}}{V_{ref}} \right) \right] \qquad \inf \left[\log_2 \left(\frac{V_{ref}}{V_{ref}} \right) \right]$				
M(D)=D	$\begin{bmatrix} u/u & 0 & 2 \\ V_{\max_{a/d}} & D \end{bmatrix} \qquad \qquad$				
Boost	$\inf \left[\log \left(\frac{1}{V_{ref}} \left(\frac{V_{ref}}{2^{n_{a/d}} + 1} \right) \right] \inf \left[\log \left(\frac{1}{V_{ref}} + 1 \right) \right] \right]$				
M(D)=1/(1-D)	$\operatorname{Int}\left[\operatorname{Iog}_{2}\left(\frac{1-D}{V_{\max_{a/d}}}, 2^{-d-q-1}\right)\right)\right] \qquad \operatorname{Int}\left[\operatorname{Iog}_{2}\left(1-D\left(\Delta V_{q}, 2^{-d}\right)\right)\right]$				
Buck-boost, Cuk, sepic	$\inf \left[\log \left(\frac{1}{V_{ref}} - 2^{n_{a/d}} + 1 \right) \right] \inf \left[\log \left(\frac{1}{V_{ref}} - 1 \right) \right]$				
M(D)=D/(1-D)	$\lim_{d \to 0} \left[\log \left[2 \left(1 - D \left(DV_{\max_{a/d}} + 1 \right) \right) \right] = \left[\log \left[2 \left(1 - D \left(D \cdot \Delta V_q \right) \right) \right] \right]$				
Flyback	$\inf \left[\log_2 \left(\frac{1}{1 - \left(\frac{V_{ref}}{1 - \left(V_$				
M(D)= <u>nD</u> /(1-D)	$\begin{bmatrix} -D \left(DV_{\max_{a/d}} \right) \end{bmatrix} \begin{bmatrix} -\left(1 - D \left(D \cdot \Delta V_q \right) \right) \end{bmatrix}$				
Forward	$\inf \left[n_{n/d} + \log_2 \left(\frac{V_{ref}}{V_{ref}} \right) \right] \qquad \inf \left[\log_2 \left(\frac{V_{ref}}{V_{ref}} \right) \right]$				
M(D)= <u>nD</u>	$\begin{bmatrix} u/u & 0 & 2 \\ V_{\max_{a/d}} & D \end{bmatrix} \qquad \qquad$				
Watkins-Johnson	$\inf \left[\log_2 \left(\frac{1}{2^{n_{a/d}}}, \frac{2^{n_{a/d}}}{2^{n_{a/d}}} - 1 \right) \right] \inf \left[\log_2 \left(1 \left(\frac{V_{ref}}{2^{n_{a/d}}} + 1 \right) \right) \right]$				
M(D)=(2D-1)/D	$\lim_{z \to 0} \left[\frac{\log 2}{D} \left(\frac{1}{V_{ref}} - \frac{1}{2D - 1} \right) \right] \lim_{z \to 0} \left[\frac{\log 2}{D} \left(\frac{1}{(2D - 1) \cdot \Delta V_q} - 1 \right) \right]$				

.



Dynamic Conditions for No Limit Cycling

Even when the conditions given in the table are satisfied, limit-cycle oscillations can still appear. Due to extra gain introduced with nonlinear quantization effects in the ADC and the DPWM.

□ In order to explain this limit cycling mechanisms, an analysis based on the describing functions can be applied





Dynamic Conditions for No Limit Cycling





Dynamic condition for no limit cycling

$$\frac{\Delta V_{q_ADC}}{2} > \frac{2|G_{vd}(j\omega_{LC})|}{\pi} \Delta V_{DPWM}$$
$$\Delta V_{q_ADC} > \frac{4}{\pi} |G_{vd}(j\omega_{LC})| \Delta V_{DPWM}$$

Design/Selection of Power & Area Efficient Basic Functional Blocks

Digital Pulse-Width Modulator (DPWM) Architectures



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Analog pulse-width modulator and DPWM



DPWM: Traditional Counter-Based Architecture





- High power consumption and definitely challenging IC design
- Top of the line processors don't have the ability to produce high frequency high resolution DPWM signals

DPWM: Traditional Counter-Based Architecture



Also the reason for that the resolution of DPWM in commercial products reduces as the switching frequency increases (for example 10 bit at 50 kHz and 8 bit at 200 kHz) => Tradeoff between resolution and frequency



DPWM: Ring Oscillator Based Architecture



- Low power consumption
- Requires relatively large silicon area



• Nonlinearity (non-monotonic) problems could occur

DPWM: Ring Oscillator Based Architecture



- Low power consumption
- Requires relatively large silicon area



• Nonlinearity (non-monotonic) problems could occur

DPWM: Ring Oscillator Based Architecture



- Low power consumption
- Requires relatively large silicon area



• Nonlinearity (non-monotonic) problems could occur

DPWM: Hybrid Ring/Counter Based Architecture





U Hybrid structure shares advantages (and drawbacks) of the previous two architectures. A compromise between power and area consumption. University of Toronto, Rogers ECE Department 172

"Digital Like" Analog Component: Digitally Programmable Current-Starved Delay Cell



$$t_{delay} = f_{sw}[n]t_{\min}$$

t_{min} – minimum propagation time

The resolution/ maximum frequency of the DPWM are still limited. For 10 MHz and 10-bit the propagation time < 100 ps needed!

Allows regulation of switching frequency/resolution



- *Reduces overall size and power consumption*
- Can operate at low supply voltages used for digital logic, i.e. can be implemented in most recent technologies.

High-Frequency High-Resolution Dithering and Sigma-Delta Based DPWM



□ The duty ratio of a core resolution (high-frequency) DPWM is varied over several switching cycles to achieve high effective resolution.

■ For example, for a 2-bit DPWM producing 0%, 25%, 50%, 75%, and 37.5% can be obtained by periodically changing output between 25% and 50%.



High-Frequency High-Resolution 1st Order Σ - Δ **Based DPWM**





$$H(z) = \frac{X(z)}{E_d(z)} = \frac{z^{-1}}{1 - z^{-1}}$$

- A pole in zero, i.e. integrator 1/s

Sigma-delta modulator changes the output of the core (3-bit) DPWM to result in average value equal to the high-resolution input d[n]

The averaging is performed by the switching converter itself (LC filter)

Can be implemented with very simple hardware



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1st Order $\Sigma - \Delta$ DPWM – Tone Problems



n	d[n]	ed[n]	x[n]	x[n-1]	d¤[n]	Duty Ratio c(t)	Average Duty Ratio
1	0.2	0.2	0.2	0	0	0	0
2	0.2	0.0125	0.2125	0.2	0.1875	0.1875	0.0937
3	0.2	0.0125	0.225	0.2125	0.1875	0.1875	0.0125
4	0.2	0.0125	0.2375	0.225	0.1875	0.1875	0.1406
5	0.2	0.0125	0.25	0.2375	0.1875	0.1875	0.15
6	0.2	-0.05	0.2	0.25	0.25	0.25	0.1666
7	0.2	0.0125	0.2125	0.2	0.1875	0.1875	0.1696
8	0.2	0.0125	0.225	0.2125	0.1875	0.1875	0.1719
9	0.2	0.0125	0.2375	0.225	0.1875	0.1875	0.1736
10	0.2	0.0125	0.25	0.2375	0.1875	0.1875	0.175
11	0.2	-0.05	0.2	0.25	0.25	0.25	0.1818
12	0.2	0.0125	0.2125	0.2	0.1875	0.1875	0.1822



1^{st} Order Σ - Δ Based DPWM – Tone Problems





□ For some inputs the low frequency periodic sequences (tones) can coincide with the corner frequency of the LC filter causing large output voltage variations

2nd order Σ - Δ **Based DPWM**



By changing the output duty ratio more aggressively creates tones at higher frequencies.

Elimination of tone related problems with a minor increase of hardware complexity.





Design/Selection of Power & Area Efficient Basic Functional Blocks

Analog-to-Digital Converter (ADC) Architectures



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ADC Requirements



□ The ADC does not need to measure the voltage over a large range, just around reference voltage

□ Resolution of the ADC needs to be just sufficient to satisfy voltage regulation requirement V_{Q_ADC} just a little bit smaller than the maximum allowable v_{out} variation

□ Important for limit cycling, not to push the resolution of the ADC too much since it can cause the LCO or require very high resolution for the DPWM

$$V_{q_ADC} > V_g \cdot \Delta M(D)$$
Windowed Flash ADC





Lower power consumption than the full-range flash ADC, still could be larger than a complete analog controller

Can even be implemented in discrete manner, no need for a full range ADC

Design Guidelines (Steps) for Selecting Resolution of the ADC and DPWM

Determine resolution of the ADC based on the voltage regulation, i.e. allowable variation of the output voltage in steady state => just marginally smaller than the voltage regulation requirement

□ In this case lower ADC resolution of the ADC is better (smaller requirements for DPWM)

 $\Box \text{ Based on } V_{q_ADC} > V_g \cdot \Delta M(D)$



More Stringent Requirements

□ What do we do if the regulation requirement is so tight that the resolution of the DPWM and the ADC are so high that we cannot realistically make it (cannot find/build components)



Non-Zero Error Coding Method for Improving Voltage Regulation of Low-Resolution Digital Controllers



Causing *d*[*n*] Oscillations Μ 1П + v_{out}^{+} V_{in} С ≶R D driver Н Low-resolution $Hv_{out}(t)$ DPWM d[n]5-bit A/D with a *Low-resolution compensator* e[n]non-zero $d[n]=f\{d[n-1], d[n-2], ..., e[n], e[n-1]..\}$ encoder V_{ref} Digital Controller



If we eliminate the zero error bin, the compensator will always be excited and => oscillations will always happen

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Controlling the Oscillations: Gain Characteristic of the Non-Zero ADC





 δ is a variable that we define in our controller, for example (can be set between 0 and 2)

Controlling the Amplitude and Frequency of the Oscillations

 $-1 = 1 \angle 180^\circ = N(A_{LCO})T(j\omega_{LCO})$ Gain of ADC at the

point of oscillations

Loop gain

Adjust the compensator such that the phase shift of the loop gain is 180° at the desired oscillations frequency (larger than the crossover frequency of the power stage)



□ Select the value δ such that the gain of the ADC, i.e. amplitude of oscillations, automatically adjusts to a predefined limited amplitude

Experimental Results



Conclusions

Hardware-efficient low-power implementation of a high-frequency digital controller for SMPS is possible

□ The same architecture can be implemented using FPGA systems or any other hardware and higher power levels

Some of these architectures are today used in several commercial products (TI and Exar, can be used as a good foundation for building integrated solutions)



Design/Selection of Power & Area Efficient Basic Functional Blocks

Compensator



Look-up Table Based PID compensator

Discrete-time control law: $d[n] = \alpha_1 d[n-1] + \alpha_2 d[n-2] + \dots + \beta_0 e[n] + \beta_1 e[n-1] + \dots$



□ Zero steady-state error,

 \Box No multipliers, which can be hard to implement at high frequencies, almost no resources spent on d[n-1]

Inherent soft start-up

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Look-up Table Based PID compensator

Discrete-time control law:

 $d[n] = \alpha_1 d[n-1] + \alpha_2 d[n-2] + \dots + \beta_0 e[n] + \beta_1 e[n-1] + \dots$



A tradeoff between the speed stability and power consumption of the compensator

$$P_{tot} = \sum_{i=1}^{N} \left(\alpha_i C_i V_{dd}^2 f_{clk} + \alpha_i Q_{sc} V_{dd} f_{sw} + I_{leakage_i} V_{dd} \right)$$



Faster compensator implies a larger probability of logic state changes and, likely, lower stability margin. University of Toronto, Rogers ECE Department

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Dual mode look-up table based PID compensator



In steady state the compensator operates
 with only 3 error values 1, 0, and 1 and reacts
 slowly, i.e. α reduced

During transients a fast compensator starts working

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Dual mode look-up table based PID compensator



Operation of mode switching logic



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Ultra-High Frequency 2nd Order Σ - Δ **Based DPWM**





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IC Implementation and Experimental Results



DPWM switching frequency	Programmable, 400 kHz to 18 (400) MHz
DPWM effective resolution	10-bit
Σ-Δ DPWM chip area	0.028 mm^2
Core DPWM current consumption	43 (8) µA/MHz
Σ - Δ Modulator current M consumption	2 µA/MHz



More Stringent Requirements

□ What do we do if the regulation requirement is so tight that the resolution of the DPWM and the ADC are so high that we cannot realistically make it (cannot find components)



Modeling of Digitally Controlled SMPS and Compensator Design (Selection of Discrete Control Law)



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Goal of This Section



- To find a modified transfer
 function of this controller
 utilizing the large body of
 knowledge from analog
 modeling
- In other words, to modify the transfer functions such that the DPWM and ADC are included (we know their resolution and properties now)
- To select digital PID compensator control law, i.e. coefficients in difference equations

Modeling of the ADC and DPWM



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Analog pulse-width modulator and DPWM



Modeling of DPWM



Phase Characteristic

$$T_d = DT_s$$

$$\angle G_{DPWM}(j\omega) = \angle e^{-j2\pi f_s DT_s} = -2\pi f_s DT_s$$



Modeling of DPWM

The DPWM's gain K_{DPWM} is the ratio of the change of the duty cycle D in the pulse-width modulated signal c(t) and the increment of the control variable d[n],

$$K_{DPWM} = \frac{dD}{dd} \approx \frac{\Delta D[n]}{\Delta d[n]}$$

The minimal increment of the control variable depends on the selected representation of numbers. The numbers' representation defines the value of the least significant bit LSB_{DPWM} of the input control signal.



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Modeling of A/D converter



Amplitude discretization

Discretization in time: Sample and hold

A/D: Sample and Hold





Sampling of a continuous-time signal

A/D (S &H): sampled signal spectrum





Spectrums of a continues time signal (top) and its sampled equivalent (bottom)

A/D modeling: Zero order hold (ZOH)



Transfer function of the zero-order hold circuit:

$$U_{h}(j\omega) = \frac{1 - e^{-j\omega T_{s}}}{j\omega} = e^{-j\omega \frac{T_{s}}{2}} \cdot \left\{ \frac{e^{j\omega \frac{T_{s}}{2}} - e^{-j\omega \frac{T_{s}}{2}}}{2j} \right\} \frac{2T_{s}}{\omega T_{s}} = T_{s} \cdot \frac{\sin\left(\frac{\omega T_{s}}{2}\right)}{\frac{\omega T_{s}}{2}} \cdot e^{-j\frac{\omega T_{s}}{2}}$$



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A/D modeling: Zero-Order Hold (ZOH)



Magnitude and phase characteristics for the sampling period Ts = 1

A/D modeling: Quantization and Coding



Continuous signal x(t) and its quantized value xq(t) for the case where the quantization step is ΔVq .

The voltage difference between two successive quantization levels, V_n and V_{n-1} , is the quantization step

 $\Delta V_{q,n} = V_n - V_{n-1}$

around the *n*-th quantization level.

Low-frequency gain:

$$K_{A/D} = \underbrace{LSB_{A/D}}_{\Delta V_{A/D}}$$



A/D modeling: DC Gain

For example, if the integer binary arithmetic is used, $LSB_{A/D} = 1$, and the gain of the analog to digital converter is

$$K_{A/D} = \frac{1}{\Delta V_{A/D}}$$



A/D Modeling: Processing Delay

Each of the conversion steps discussed so far introduces a certain delay. The total delay, known as the conversion time $t_{A/D}$ of the analog-to-digital converter, introduces a phase shift:

$$\angle G_{A/D}(j\omega) = \angle e^{-j2\pi \frac{f}{f_s}t_{A/D}} = -2\pi \frac{f}{f_s}t_{A/D}$$



Complete Model of the A/D converter

Using the results from the previous analysis the spectrum of an analog signal x(t), after the analog-to-digital conversion becomes:

$$X_{A/D}(j\omega) = \frac{1}{T_s} \sum_{k=-\infty}^{\infty} X(j(\omega - k\omega_s)) \cdot T_s \cdot \frac{\sin\left(\frac{\omega T_s}{2}\right)}{\frac{\omega T_s}{2}} \cdot e^{-j\frac{\omega T_s}{2}} \cdot K_{A/D} \cdot e^{-j\omega \cdot t_{A/D}}$$

For the input signal frequencies lower than the sampling frequency the expression can be simplified to include only the image of the original signal spectrum around zero (the "base band")



Model of A/D converter

Hence, the transfer function of the analog to digital converter $G_{A/D}(j\omega)$ inside the base band is

$$G_{A/D}(j\omega) = \frac{X_{A/D}(j\omega)}{X(j\omega)} = K_{A/D} \cdot \frac{\sin\left(\frac{\omega T_s}{2}\right)}{\frac{\omega T_s}{2}} \cdot e^{-j\frac{\omega T_s}{2}} \cdot e^{-j\omega \cdot t_{A/D}}$$

For f<<f_{sampling}

$$G_{A/D}(j\omega) = \frac{X_{A/D}(j\omega)}{X(j\omega)} = K_{A/D} \cdot e^{-j\frac{\omega T_s}{2}} \cdot e^{-j\omega \cdot t_{A/D}}$$



Practical Model of Digitally Controlled SMPS





$$G_{ed}(j\omega) = K_{DPWM} \cdot e^{-j\omega DT_s} \cdot K_{A/D} \cdot e^{-j\omega T_{A/D}} \cdot G_{vd}(j\omega) \cdot H(j\omega)$$

Analog model of digitally controlled SMP

Selection of Compensator Coefficients



Discrete-time PID compensator

Discrete-time PID difference equation

$$d[n] = d[n-1] + K_c(e[n] + a_1e[n-1] + a_2e[n-2])$$

From previously described design...

URC We would like to find coefficients for the equivalent representation in the s domain


Digitalization

This method can be used to obtain equivalent difference equation from a transformed form of a control law (s-domain)

$$d[n] = d[n-1] + K_c (e[n] + a_1 e[n-1] + a_2 e[n-2])$$

$$\downarrow$$

$$G_{ct}(s) = \frac{\omega_k}{s} \left(1 + \frac{1}{Q_{cmp}} \frac{s}{\omega_z} + \left(\frac{s}{\omega_z}\right)^2 \right)$$



Discrete-Time Z-Transform

□ *The z-transform is a discrete-time, sampled-data dual of the Laplace transform, which contains duals of all the well known characteristics*

Z-Transform:
$$V_c(z) = \sum_{n=-\infty}^{\infty} v_c[n] z^{-n}$$

The Laplace
Transform: $V_c(s) = \int_{-\infty}^{\infty} v_c(t) e^{-st} dt$

Note that for $\underline{z} = e^{sT}$ the z-transform has the form of a sampled version of the Laplace

$$V_c(e^{sT}) = \sum_{n=-\infty}^{\infty} v_c(nT)e^{-snT}$$



Discrete-time PID compensator

Discrete-time PID difference equation

$$d[n] = d[n-1] + K_c(e[n] + a_1e[n-1] + a_2e[n-2])$$

Discrete-time and continuous time equivalent PID transfer functions

$$G_{c}(z) = \frac{d_{c}}{e} = K_{c} \frac{1 + a_{1}z^{-1} + a_{2}z^{-2}}{1 - z^{-1}}$$

$$G_{ct}(s) = \frac{\omega_k}{s} \left(1 + \frac{1}{Q_{cmp}} \frac{s}{\omega_z} + \left(\frac{s}{\omega_z}\right)^2 \right)$$

The discrete-time pole at 1 corresponds to the continuous-time pole at zero. As a result, the compensator has infinite dc gain, i.e. the steady-state error e is zero



The compensator has two zeros to provide the necessary phase lead

Discrete-time PID compensator design based on analog template

$$G_c(z) = K_c \frac{1 + a_1 z^{-1} + a_2 z^{-2}}{1 - z^{-1}} \quad \longleftarrow \quad G_{ct}(s) = \frac{\omega_k}{s} \left(1 + \frac{1}{Q_{cmp}} \frac{s}{\omega_z} + \left(\frac{s}{\omega_z}\right)^2 \right)$$

Given Gct(s), i.e. by knowing how we would like our compensator in s-domain, Gc(z) can be obtained using one of a number of possible continuous-to-discrete time mapping techniques

□*For example, we can apply the pole-zero matching technique:*

$$z = e^{s/T}$$

T is the sampling period (equal to the switching period $T_s = 1/f_s$ in our example) Equations:

tions:

$$r = e^{-\pi f_z/(Q_{cmp}f_s)}$$
 $a_1 = -2r\cos\left(2\pi \frac{f_z}{f_s}\sqrt{1 - \frac{1}{4Q_{cmp}^2}}\right)$ $a_2 = r^2$



 K_c is selected so that the magnitude $||G_c||$ matches the magnitude $||G_{ct}||$ at a selected frequency (the cross-over frequency, for example) University of Toronto, Rogers ECE Department

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Example

$$G_{ct}(s) = \frac{\omega_k}{s} \left(1 + \frac{1}{Q_{cmp}} \frac{s}{\omega_z} + \left(\frac{s}{\omega_z}\right)^2 \right) \longrightarrow G_c(z) = K_c \frac{1 + a_1 z^{-1} + a_2 z^{-2}}{1 - z^{-1}}$$



Load transient responses $50\% \rightarrow 100\%$



Day 2: Preview (Advanced Controllers)



ON CHIP

PC Interface

response and vir efficiency curve University of Toronte

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ton [5:0]

PFM

Controller

PFM en

Efficiency Optimization

Day 2: Preview (Advanced Controllers)



Day 2: Preview (Emerging Topologies)





Day 2: Preview (Emerging Topologies)





Part III

Advanced Controllers for Dc-Dc and Rectifiers with Power Factor Correction (Reaching the Physical Limits of Conventional Topologies)



Digital (Mixed-Signal) Control as Enabling Technology



- In the past high-frequency digital controllers were not available
- Digital high-frequency IC control solutions are available today
- *Replacing analog with digital without using advantages of the digital is not sufficient*



Digital controllers that improve performance of SMPS (advanced controllers) will be presented here

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Progress of Digital Control: Ultra High-Frequency Digital Controller IC (Beyond 100 MHz)





Digital controllers today can operate at higher switching frequencies than what the switching components can support (necessary condition).

[2] Z. Lukić, N. Rahman, and A. Prodić, "Multi-Bit Sigma-Delta PWM Digital Controller IC for Dc-Dc Converters Operating at Switching Frequencies Beyond 10 MHz," IEEE Trans. on Power Electronics, Sep. 2007, Vol.22, Iss. 5

Digital Control and Physical Limitations

- Operation at high switching frequencies 🗸
- Fast dynamic response of the controller *←***focus**





The size of the output capacitor strongly depends on the speed of the controller response

Conventional Controller Design





Design approach limitations: The modeling method includes bandwidth limitations (affects the speed of the controller)

Mixed-Signal Controlled SMPS





The idea is **to use computational features** that digital control offers to improve dynamic performance, i.e. reduce the output capacitor size.

Limitations of Frequency Design Based Controllers





Mathematical tool used to approximately describe filtering effect of the power stage reactive components, works relatively good for output voltage, far from perfect for current and switches waveforms.

Limitations of Frequency Design Based Controllers

□ Valid for frequencies much smaller than the switching frequency, approximately up to $f_{sw}/10$. Still very efficient in ripple elimination.



The analysis is applicable for small variations around a steady state operating point



Also means that the controller by its nature has limited speed and the validity of modeling approach for large changes is questionable

Limitations of Frequency Design Based Controllers



By averaging the current ripple, which in modern SMPS cannot be considered negligible is eliminated (for some converters and full loads we throw away about 40% of current from calculations)



Mixed-Signal Controlled SMPS





The idea is **to use computational features** that digital control offers to improve dynamic performance, i.e. reduce the output capacitor size.

Time-Optimal Control, i.e. Geometric Control^[1]





Simultaneous analysis of instantaneous waveforms (rather than average) in state-plane is performed to determine time-optimal switching sequence

[1] W. Burns, T. Wilson "A State-Trajectory Control Law for DC-to-DC Converters", IEEE Transactions on Aerospace and Electronic Systems, January, 1978.

Time-Optimal Control, i.e. Geometric Control^[1]





The method has been known for almost 40 years, however due to large computational complexity, has not been adopted in high-frequency applications. Calculation of the curves (trajectories) is very demanding.

Charge Based (Mixed-Signal) Time-Optimal Response



$Q = C \Delta V$



Simpler computational logic, based on charge replacement and calculations of t_{on} and t_{off} times. Transient suppression logic active during transients only.

[1] F. Guang, E. Meyer, Y.-F. Liu, "A New Digital Control Algorithm to Achieve Optimal Dynamic Performance in DC-to-DC Converters," Power Electronics, IEEE Transactions on, vol.22, no.4, pp.1489-1498, July 2007. University of Toronto, Rogers ECE Department

Continues-time Digital Controller Reaching the Physical Limitations of a Given Power Stage





•In steady state the controller operates as a conventional voltage-mode PWM system

• CT-DSP reacts during transients

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Mixed-signal Architecture Example: Continuous-Time Digital Controller



•Continuous-time digital processor (CT-DSP) used as a combined windowed ADC and optimal sequence calculator.

Takes voltage "snapshot" during transients and calculates the sequence accordingly.

Capturing a Snapshot

- Valley point and its amplitude are captured (to calculate Q)
- Valley point is also used to get the time reference for calculation of t_{on} and t_{off} times (previous slide)
- Post processing used to improve accuracy, i.e. minimize discretization effects (both in time and amplitude)





CT-DSP Algorithm and Experimental System Performance



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Optimal response theoretical waveforms University of Toronto, Rogers ECE Department

Optimal response experimental waveforms



Transient response of an experimental 2 A, 400 kHz system for a 10 % to 60 % load change.

Drawbacks of Time-Optimal Control Methods



□ Large peak inductor current, i.e. inductor might need to be over designed

□ In some cases, need to know LC values or to have a very high resolution ADC^[1]

Relatively complex calculations and sensitivity to delay



[2] A. Costabeber, L. Corradini, P. Mattavelli, and S. Saggini, "Time optimal, parameters-insensitive digital controller for DC-DC buck converters," in *Proc. IEEE Power Electronics Specialist Conf.*, 2008, pp. 1243–1249.

Minimum Deviation Controller





Rather than focusing on speed, focusing on the ultimate goal, minimization of the output voltage deviation, i.e. Δq_{trans} reduction





[1] A. Radić, Z. Lukić, S.M. Ahsanuzzaman, A. Prodić, and R. de Nie, "Minimum Deviation Digital Controller IC for Dc-Dc Switch-Mode Power Supplies," IEEE Trans. on Power Electronics, Sept. 2013, Vol.28.
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Transient recovery circuit recovers inductor current and ripple, compensator voltage = > No current overshoot, no need to know converter parameters, simple calculations University of Toronto, Rogers ECE Department



- The reconstruction of the inductor current is performed only based on the previously known duty ratio value (D) and the detection of valley point ($i_c = i_{load_new}$).
- Simple calculation (almost no calculations needed), allowing simple implementation





Heavy-to-light load transient

- The reconstruction of the inductor current is performed only based on the previously known duty ratio value (D') and the detection of valley point ($i_c = i_{load_new}$).
- Simple calculation (almost no calculations needed), allowing simple implementation





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Implementation for a 2-Phase System



Correction for Duty Ratio Mismatch (Losses)





Losses of the converter cause D before and after the transient to have different values, as a result a secondary transient can occur. To compensate for that extra logic is developed.

Correction for Duty Ratio Mismatch (Losses)



Based on previously noticed voltage deviations it memorizes differences between duty ratio values and uses them later on as a correction factors


Minimum (Optimum) Deviation Controller IC





Simple logic: Only needs to remember D before transient and, in a realistic case, adjusts duty ratio to compensate for dc load-dependent duty ratio

variations. University of Toronto, Rogers ECE Department

Self-Calibrating SAR Track & Hold ADC





A simple modification of a one-bit successive approximation ADC for transient detection and steady-state voltage regulation.

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Practical Implementation (500 kHz VRM)



Digital Control and Physical Limitations

- Operation at high switching frequencies \checkmark
- Fast dynamic response of the controller 🖌 Pushed to physical limits





High Frequency (10 MHZ) Mixed-Signal CPM Controller IC with Instantaneous On-Line Efficiency Optimization



Mixed-Signal HF CPM Controller



Provides solutions:

- Power efficient current sensing at high switching frequencies
- Instantaneous efficiency optimization in the presence of highly dynamic loads



[1]A. Parayandeh, B. Mahdavikkhah, S.M. Ahsanuzzaman, A. Radić, and A. Prodić, "A 10 MHz mixed-signal CPM controlled DC-DC converter IC with novel gate swing circuit and instantaneous efficiency optimization," in Proc. IEEE Energy Conversion Congress and Exposition (ECCE), 2011, pp. 1229-1235.

Principle of Operation (Mixed-Signal Loop)



The current loop compensator contain information about the transistor current over the next switching cycle. Based on that prediction parameters of the power stage are adjusted.



[1]A. Parayandeh, B. Mahdavikkhah, S.M. Ahsanuzzaman, A. Radić, and A. Prodić, "A 10 MHz mixed-signal CPM controlled DC-DC converter IC with novel gate swing circuit and instantaneous efficiency optimization," in Proc. IEEE Energy Conversion Congress and Exposition (ECCE), 2011, pp. 1229-1235.

Current Sensing Amplifier Problem



The transistor current is pulsating and has very high frequency components that need to be sensed, also the range of current varies a lot, need for a high GBW amplifier (hard to make and consumes a lot of power)



[1]A. Parayandeh, B. Mahdavikkhah, S.M. Ahsanuzzaman, A. Radić, and A. Prodić, "A 10 MHz mixed-signal CPM controlled DC-DC converter IC with novel gate swing circuit and instantaneous efficiency optimization," in Proc. IEEE Energy Conversion Congress and Exposition (ECCE), 2011, pp. 1229-1235.

10 MHz Power Module IC Block Diagram



Segmented power stage, i.e. transistors made of 8 identical smaller ones. Seven transistors have the same gate voltage and one of them has variable gate voltage.

In this way very accurate tradeoff between switching losses and conduction losses can be made.



10 MHz Power Module IC Block Diagram





10 MHz Power Module IC Block Diagram

Digital logic that based on the info from the voltage loop, i.e. known current value in the next switching cycle changes the mode of operation

Digital control variable from the voltage loop (transistor current of the next switching cycle)



Principle of Operation





At heavy and medium loads the number of power stage segments is dynamically adjusted. At lighter loads only one segment operates and the gate drive voltage is changed. At even lighter loads the controller enters PFM mode of operation.

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Low-Power High-Frequency SensFET



- **GBW** requirements for the amplifier reduced since the amplitude is always relatively large (but not the losses)
- Provides operation at 10 MHz switching frequency



Experimental Results





Heavy to light load transient and change from PWM mode of operation to PFM, which is more efficient at light loads.

10 MHz CPM IC Power Module (Implementation)





2 W/ 10 MHz On-chip CPM controlled power module

Specifications	Value	Units
CMOS Process	0.13	μm
Area	2.5	mm2
Input Voltage	2.5	V
Output Voltage	0.8-1.3	V
Rated Load	500	mA
Filter L,C	400, 0.9	nH,µF
Switching Frequency ,	10	MHz
Ron Pmos , Nmos	0.26 , 0.234	Ω
Supply Analog, Digital	1.2, 2.5	V
Peak Efficiency	83	%
CPM Controller Current	500	μΑ
PFM Controller Current	10	μΑ
Digital Core	200	μΑ 267



Experimental Results



Comparison with a conventional single mode power stage. Improvement of efficiency curve throughout the full range of operation.

Digital Control and Physical Limitations

- Operation at high switching frequencies 🗸
- Fast dynamic response of the controller 🖌 Pushed to physical limits
- Flat and high efficiency curve (efficiency close to the limit) \checkmark





Limit-Cycling Based Auto-Tuning Controller for Digitally Controlled LP HF SMPS (Plug & Play Controller)



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Objective



□ To design a controller that will identify power stage parameters and, accordingly self-tune compensator parameters, such that stability and fast dynamics are maintained in all operating conditions.

Save the cost of the development make an universal solution

Principle of Operation



During a short-lasting identification period limit cycling oscillations are intentionally introduced and from their frequency and amplitude the parameters of the power stage are estimated.



Implementation – block diagram



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Implementation – block diagram



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Implementation – Practical Realization



Based on the frequency of the oscillations LC product, i.e. corner frequency of the power stage is calculated.

From the amplitude of the oscillation, a rough estimation of the load is performed.

Experimental Results





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Experimental Results





Efficiency Optimization



Self-Tuning Averaged Current Programed Mode Controller with Current and Temperature Estimator



Basic Idea

To obtain information about the current (and other converter parameters) without using current sensors.

Benefits: no wires, reliability, less expensive...







Current-Sensing Methods

Voltage drop based methods

• Utilize a sense resistor or the parasitic on-resistance of the power MOSFET in the current path to measure the current

Observer based methods

- The inductor current is estimated from the inductor voltage
- Highly sensitive to parameter variations (large error)



Digital Estimator: Principle of Operation



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Implementation with Reduced Number of ADCs



Filter Calibration With a Current Sink





A known current step is introduced with a current sink and the response of the filter is observed

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Filter Calibration: R₁



Filter Calibration: L/R_L





When the time constants are matched, values at the valley point are the same

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Experimental Results: Calibration



Experimental Results: Calibrated Operation






Extension on Multi-Phase Case

- Total current is given by the voltage loop and the digital logic defines currents in each of the phases
- The currents are divided such that equal temperature is achieved between phases





Phase-by-Phase Calibration

response to the sink circuit





All current references but one are "frozen" and the active phase is calibrated

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Experimental Results



Advanced Digital Control for Rectifiers with Power Factor Correction (PFC Rectifiers)





Boost – Based PFC Rectifier





Voltage Loop - Low Bandwidth Problem



The voltage loop must not attempt to eliminate the output capacitor ripple through Re variations. Hence, it is usually designed to be very slow. We have a bulky high voltage cap.

PFC and Low-Bandwidth Voltage Loop Problem





The voltage loop must not attempt to eliminate the output capacitor ripple through Re variations. Hence, it is usually designed to be very slow. Has a bulky high voltage cap.

General Ripple Problem





Also causes flicker in LED applications and puts unreasonable requirements for the output capacitor size in both LED supplies and universal adapter applications

Insensitive Zone Digital Controller





By utilizing insensitive zone in ADC we can drastically improve transient response.

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V/div; Ch-2: iline(t) 1 A/div.



Time: 50 ms; Ch-1: vout(t) 10 V/div; Ch-2: iline(t) 1 A/div.

Digital Control and Physical Limitations

- Operation at high switching frequencies 🗸
- Fast dynamic response of the controller 🖌 Pushed to physical limits
- Flat and high efficiency curve (efficiency close to the limit) \checkmark
- Introduced new features improving reliability and simplifying design ✓

Digital control is not only feasible but has also pushed existing converter topologies to their physical limits



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Part IV

High Power Density Mixed-Signal Controlled Dc-Dc Converters (Emerging Topologies)



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Moving Forward- Utilization of Digital (Mixed) Signal Control

Silicon



Utilize flexibility of mixed-signal control to develop higher power density (most likely more complex) topologies.

Allow weight (cost) distribution where the silicon will take a large percentage (than today). Note, not necessarily increased silicon area.

> Improved power processing efficiency

□ Natural evolution, seen in other areas, i.e. higher power applications



Example 1: Load-Interactive Steered-Inductor DC-DC Converter with Minimized Output Filter Capacitance



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Load Interactive SMPS with Current Steering



• Uses improved interaction (bidirectional comm.) with the digital loads: uses info about upcoming load change to either pre-charge inductor current or steer it away.

•At the expense of few transistors (two with low voltage) and more complex control, ideally, allows selection of the capacitor based on the ripple criteria only.

[1] S.M. Ahsanuzzaman, A. Parayandeh, A. Prodić, D. Maksimović, "Load-interactive steered-inductor dc-dc converter with minimized output filter capacitance," in Proc. IEEE (APEC), 2010, Pg. 980-985.

Steered Inductor Buck-Boost: Heavy to Light load Transient Operation (Comparison with Standard Buck)



- After a heavy-to-light transient is detected ,the current is steered away from the capacitor to the source.
- Voltage overshoot $\Delta v = \Delta q_{trans} C$ is eliminated



- Transient excess of energy ($W=1/2\Delta q_{trans}C$) is recycled (rather than burnt on the load), for highly dynamic loads this represents a significant savings
- The current slew-rate is increased to $-V_g/L$ from $-V_{out}/L$ in buck mode

Steered Inductor Buck-Boost: Light to Heavy Load Operation (Comparison with Standard Buck)



Light-to heavy pre-transient condition current "pump-up"



- At the point when the inductor current is equal to the new load value
- Ideally, capacitor has zero Δq_{trans} since no extra current is taken from it.

State-Machine Digital Logic





The digital logic keeps voltage regulated during charge up and current steering phase and also provides bump-less mode transitions.



Load Transient Performance – Realistic System

Exp. System: About 4 x smaller deviation than that of an optimum response system => allows for proportional reduction of the output filter capacitor.



Caution: system delays and mode transitions could cause significant overshoots and undershoots.

Reducing Inductor

We haven't fully addressed the inductor volume reduction yet and in numerous applications it is the largest contributor to the overall volume.



Inductor Volume Reduction – Basic Principles



$$Volume_L \approx k_2 \left(\frac{1}{2}LI^2\right)$$

 k_2 - depends on the type of inductor

Three principles for the inductor volume reduction/elimination that will be shown here:

- Current division (reduction of the inductor current)
- Elimination (switched capacitor based topologies)



- Inductor volt-second swing reduction (hybrid and multi-level converter topologies) Main focus

Current Division: Interleaved/Parallel Structures





- Load (inductor) current is divided between n-phases
- Effectively => higher switching frequency is produced



- Smaller output capacitor and smaller input filter (including input filter)
- Total inductor volume can be reduced but we need to pay a price

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Interleaved Structures



-L-s of the \overline{p} has es are usually significantly larger than that of the single phase -Unfortunately, the silicon area and switching losses do not scale that well - Still, the benefits of loss distribution C and input filter minimization are very significant University of Toronto, Rogers ECE Department

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Interleaved Structures - Losses

To get N times smaller rms current through the switches the waveform needs to be scaled version of the original current => inductance per phase needs to be N times larger

$$Total_volume \approx k_2 \left(n \frac{1}{2} n L \left(\frac{I_{load}}{n} \right)^2 \right) = volume_one_phase$$

Elimination: Inductor-less structures (Switched Capacitor Converters)



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Inductor Elimination: Switched Capacitor Converters



 $V_{out} = \frac{V_g}{2}$ Idea: perform all power processing with capacitors only, since they have higher energy density than the inductors



Principle of Operation





Period 1: Flying capacitor C_{fly} is charging with i_{fly_1} and C_{out} discharging with i_1

Principle of Operation





Period 2: The flying capacitor transfers extra charge to the output capacitor

Inductor Elimination: Switched Capacitor Converters



Very high efficiency at fixed conversion ratios

$$V_{out} = \frac{V_g}{2}$$

Significant drop at efficiency at other ratios



Dynamic performance inferior to inductive solution, to make them equally effective with variable conversion ratio variations need extra elements => reduction of savings

Inductor Volt-Swing Reduction



Inductor Voltage Swing and Current Ripple







• Reduction of voltage swing , i.e. $DV_{L on}$ and $D'V_{L off}$ values

Buck Converter Reduction of Inductor Volume



Idea: to reduce the input voltage, i.e. bring it closer to the output voltage with a front-end capacitive stage



Volt Swing Reduction – Effect on Ripple (Value of L)





Ripple changes of a 1 V converter for the input voltage variation from 10 V to 1 V. By reducing the voltage swing we can reduce the inductor value.

Conventional Approach: Serial Connection of a Switch Cap Converter And a Converter with Inductor



Front stage reduces the input voltage of the buck.



[1] R.C.N.Pilawa-Podgurski, D.M.Giuliano, and D.J.Perreault, "Merged two-stage power converter architecture with soft charging switched- capacitor energy transfer," in Proc. IEEE PESC, 2008.
[2] J. Sun, M. Xu, Y. Ying, and F. C. Lee, "High power density, high efficiency system two-stage power architecture for laptop computers," in Proc. IEEE PESC, Jun. 2006, pp. 1–7.

Common Approach: Serial Connection of a Switch Cap Converter And a Converter with Inductor



1. Drastic reduction of the output filter volume

1. Relatively large intermediate cap (still smaller favorable tradeoff)

2. Extra switches in conduction path (at least 4) and at least 6 switches total



Buck Converter with Merged Capacitive Attenuator (Hybrid Architecture)


Buck Converter with Merged Capacitive Attenuator





[1] A. Radić, A. Prodić, "Buck Converter With Merged Active Charge-Controlled Capacitive Attenuation," IEEE Transactions on Power Electronics, March 2012, Vol.27, Issue. 3, pp. 1049-1054 -All switches rated at $\frac{1}{2} V_{max}$ of the conventional buck (no extra conduction losses)

-Switches are shared between the cap stage and buck

-Lower switching losses than of the conventional buck

-Centre tap voltage maintained constant with the help of buck inductor

- Better transient response than the time-optimal buck

Modes of Operation, Ideal and Practical System





Modes of Operation, Ideal and Practical System





Centre-tap voltage controller operation. Skips regular sequence and takes the charge from the cap with larger voltage until balance is achieved.



Transient Mode





Comparison with a conventional buck

Experimental Results: Comparison with Conv. Buck





For a 5V to 1 V buck 44% smaller inductor and 35% smaller output capacitor

Experimental Results: Comparison with Con. Buck



Power Management System for Portable Applications



Typical Power Management System of a Portable Device



- Multiple outputs for various loads
- Front end dc-dc stage is bulky



All SMPS downstream stage operate at a full swing

Conventional Power Management System (Goals)

- Inductors consume a large portion of volume of modern portable devices
- We would like to reduce the volume of the inductors



https://www.ifixit.com/Teardown/iPad+Air+Teardown/18907



http://https://www.ifixit.com/Teardown/Nexus+5+Teardown/19016



iPAD Air Tablet

Nexus 5 Smartphone

Revision of Voltage Swing Reduction Concept: General Case



Voltage-Swing Reduction (Differential Buck)





Two values of the switch node voltage value can be set close to the output voltage value.

Hybrid SC Based Multi-Output Power Module



• Front end dc-dc stage is open loop SC converter (operating at high efficiency)



• All SMPS downstream stage operate at a swing equal to the corresponding tap capacitor voltage

Principle of Operation: Downstream Stage

- Reduced voltage swings across inductors reduce the required inductor sizes for same ripple
- Differentially connected buck converters have increased efficiency due to reduced switching losses
- Operating at a higher switching frequency further reduces inductor sizes





Mixed-Signal Controlled SMPS

Nov. 25th -26th, 2014, Moscow

Practical Implementation

Multi-Output SC Front Stage

- Fixed-ratio switched capacitor circuits show high efficiency
- 2 flying capacitors (C_{s1} and C_{s2}) are used with 6 switches with 50% duty ratio
- Each intermediate capacitor (C_{mid1} , C_{mid2} , C_{mid3}) holds 1/3rd of the battery voltage





Hybrid SC Based Multi-Output Power Module





• Triple output front stage combined with differential buck converters

[1] S.M. Ahsanuzzaman, J. Blackman, T. Mcrea and A.Prodić, "A multi-output low-volume power management module for portable battery-powered applications," in Proc. IEEE Applied Power Electronics Conference (APEC), 2013





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Typical Application

- □ 1 V output: Digital processors
- □ 3.3 V output: analog components (i.e. power amplifiers)
- □ 5 V output: USB ports and peripherals





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Comparison Table (Inductor Volumes and Losses)



Volume Reduction





Measured Efficiency Comparison

≻1 V buck converter: 12% improvement at lighter loads



Efficiency vs. Load Current



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Measured System Efficiency

≻MoSC front-end stage shows above 90% operating efficiency



Efficiency vs. Load Current

345

On-Chip Implementation Power Management IC Module



Test Chip (IC-SCB)



Total Area: 1.5 mm X 2.5 mm







Experimental Setups

2 stacked IC-SCBs are tested for the proper system operation

Specifications	Value	Units
f_{sw_sc}	580	KHz
f_{sw_buck}	10	MHz
V_{in}	3	V
V_{out1}, V_{out2}	1, 2.5	V
Buck L,C	100, 10	nH, µF
R _{on} Pmos, Nmos	150, 125	$m\Omega$





Test Board #1

(discrete inductors)

Test Board #2 (on-chip inductors)





IC Packaging with Inductors

- Bonding picture: 1-IC
 - ➢ 60 QFN Package: 7mm X 7mm
 - ➢ Inductor (0603) is placed on top of the IC







IC Packaging with Inductors

- Bonding picture: 2-ICs
 - ➢ 60 QFN Package: 7mm X 7mm
 - Inductors (0603) are placed on top of the ICs





Zoomed



Design Example: Serial Input Parallel Output Flyback (Current Division + Swing Reduction + Optimal Control)



Serial Input Parallel Output Flyback



-Load current is shared between the phases

-Voltage swing of the inductors reduces with number of phases

-Near optimum deviation controller

[1] A. Radic, A. Straka, A. Prodic, "Low-volume stackable flyback with near-optimum controller," in Proc. IEEE APEC 2014.

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Serial Input Parallel Output Flyback: Equivalent Circuit



-This equivalent circuit shows that both current and voltage are shared between the phases

- 1/(k²) reduction of power processing requirement per phase

- Inherent current sharing between phases (no need for extra hardware)

- Voltage balancing might be needed but that is less complex to implement

Volume Comparison vs. Interleaved Buck



-For step down ratios larger than 8 2-module flyback is already smaller than an equivalent interleaved buck. For large number of modules the advantage increases.



- Advantages recognized but the control is challenge, indirect energy transfer converter University of Toronto, Rogers ECE Department

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Near Minimum Deviation Controller



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Near Minimum Deviation Controller (Light to Heavy)



Near Minimum Deviation Controller (Light to Heavy)





For heavy to light works almost as a conventional minimum deviation system

Experimental Implementation (board and IC)





Efficiency Comparison



1/2/3-Cell Flyback Converter (1.5V Output, 500kHz)

-Power processing efficiency is improved as well



Transient Performance



By utilizing voltage and current sharing we can reduce volume, improve efficiency while maintaining fast transient response.


Part V

High Power Density Mixed-Signal Controlled Rectifiers with Power Factor Correction (Emerging Topologies)



Design Example: Application of Optimization Principles in Rectifiers with Power Factor Correction Applications



Programmable Output Adapter



Requirements and Sizing For the Upcoming Adapters



- The idea is to have one adapter (charger) that can work with any application
- *Output voltage programmable between 5 V an 20, through USB communication* with the device

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•Problem with the capacitor volume
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DCM Flyback and Problem with Capacitor Sizing



•In those applications Flyback converter operating in DCM is most often used



DCM Flyback and Problem with Capacitor Sizing



To eliminate the ripple the capacitor size can be defined as:

$$C \approx k \frac{P_{out}}{V_{out}^{2}} \left(\frac{V_{out}}{\Delta Vout} \right)$$



For 5 V, 20W output, and 1% regulation the size of the output capacitor is about 40 mF

Merged Two-Stage Solution with Energy Buffering Capacitor and On-Line Efficiency Optimization





Merged Two-Stage Solution with Energy Buffering Capacitor and On-Line Efficiency Optimization





Top capacitor has much smaller value and handles larger voltage ripple (the input voltage of the buck is almost constant).

Merged Two-Stage Solution with Energy Buffering Capacitor and On-Line Efficiency Optimization





The bottom capacitor voltage is regulated such that it is just slightly larger than $v_{out}(t)$, resulting in a small output inductor.

Mixed-Signal Controlled SMPS

Nov. 25th -26th, 2014, Moscow

Balancing Capacitor Voltage (regulation of bottom capacitor voltage): Inductor Current Waveforms





Only once in many switching cycles, during a portion of one switching interval, changes operation from the regular mode (SW2-on) to balancing mode (SW3-on)

Mixed-Signal Controlled SMPS

Balancing Capacitor Voltage (regulation of bottom capacitor voltage): Inductor Current Waveforms





Only once in many switching cycles, during a portion of one switching interval, changes operation from the regular mode (SW2-on) to balancing mode (SW3-on)

Balancing of Capacitor Voltages



In this mode only top capacitor voltage is practically changed



Since the top cap is much smaller during the second portion of interval practically only its voltage is changed and we are achieveing independent regulation of two voltages

Experimental Results





Transient Response





HB LED Supply Without Electrolytic Capacitor



Merged Boost PFC & Resonant Converter





The ripple components is distributed between a small boost capacitor and resonant converter

Merged Boost PFC & Resonant Converter





The ripple components is distributed between a small boost capacitor and resonant converter, no input voltage measurements, and shared components

Integrated PFM and PWM Controller





Controller maintains desired duty ratio (for boost) while varying frequency to maintain ZVS of the converter (above resonance operation)

Experimental Waveforms





Large ripple allowed across boost ceramic capacitor

Input Current Waveforms







Harmonics





Low-Volume PFC Based on a Boost With Non-Symmetric Capacitive Divider



Conventional Boost Based PFC Rectifier + Downstream



• Very large boost inductor due to a large voltage swing (400 V)



Bulky heat sink to cool down the switch (and diode) due to high switching losses

Inductor Voltage Swing in a Boost-Derived Converters





Inductor voltage swing is equal to the switching node voltage swing.

General Principle

To reduce L and, consequently, C operation at high switching frequency is usually targeted, alternatively we can reduce the swing voltage.

$$\Delta I_{ripple} \approx \frac{v_{L_on}(D)}{2Lf_{sw}} = \frac{v_{L_off}(1-D)}{2Lf_{sw}}$$

Here, to minimize the L, we can reduce the inductor voltage swing , ideally making it 0



Load-Source Inversion and Boost Based Architectures (Original Architectures)



3-Level Boost

VELU

ARBOR



[1] T.A. Meynard, H. Foch, "Multi-level conversion: high voltage choppers and voltage-source inverters," in Proc. IEEE PESC '92, pp.397-403 vol.1.

[2] L. Balogh, R. Redl, "Power-factor correction with interleaved boost converters in continuous-inductorcurrent mode," in Proc. IEEE APEC '93. pp.168-174.

[3] M.T. Zhang, Y. Jiang, F.C. Lee, M.M Jovanovic, "Single-phase three-level boost power factor correction converter," in Proc. IEEE APEC '95, pp.434-439 vol.1.

[4] J. Salmon, A. Knight, J. Ewanchuk, N. Noor, "Multi-level single phase boost rectifiers using coupled inductors," in Proc. IEEE PESC 2008, pp.3156-3163.

3-Level Boost



• The switching sequence is changed depending on the input voltage level, such that the voltage swing is reduced (+)

- The inductor volume is reduced by 50% compared to conventional(+)
- Lower Switching losses (+)



 Direct extension to a larger number of levels results in exponent increase in the switching components count (-)

Non-symmetric Multi-Level Boost PFC + Downstream





[1] B. Mahdavikhah, R. DiCecco, and A.Prodić, "Low-volume PFC rectifier based on non-symmetric multi-level boost converter," in Proc. IEEE Applied Power Electronics Conference (APEC), 2013

Non-symmetric Multi-Level Boost PFC + Downstream



- The output capacitor replaced with non-symmetric divider, the middle tap is held at Vbus/3 (not Vbus/2)
- The structure of the circuit changes with the input voltage
- We can produce four node voltages 0, Vbus, Vbus/3, and 2Vbuss/3
- Inductor reduced by 3 times

VELUT

EVO

ARBOR

\$witching losses reduced as well (lower switching losses)

Modes of Operation: Mode 1 (V_{in}<V_{bus}/3)

Mode of operation changes, depending on the input voltage level.



 $V_{swing} = V_{bus}/3, V_{bl_QI} = 2V_{bus}/3, V_{bl_Q2} = V_{bus}/3, V_{bl_D2} = V_{bus}/3, V_{bl_DI} = 2V_{bus}/3$



Modes of Operation: Mode 2 (V_{bus}/3<V_{in}<2V_{bus}/3)



$$V_{swing} = V_{bus}/3, V_{bl_Q1} = 2V_{bus}/3, V_{bl_Q2} = V_{bus}/3, V_{bl_D2} = V_{bus}/3, V_{bl_D1} = 2V_{bus}/3$$



Modes of Operation: Mode 3 (2V_{bus}/3<V_{in})



 $V_{swing} = V_{bus}/3, V_{bl_Q1} = 2V_{bus}/3, V_{bl_Q2} = V_{bus}/3, V_{bl_D2} = V_{bus}/3, V_{bl_D1} = 2V_{bus}/3$



4-Level Converter (Looks like a 3 level)





The switching node can have 4 different voltage levels, therefore, the converter behaves as a 4-level converter using 3-level hardware. University of Toronto, Rogers ECE Department

Practical Controller Implementation





Mode Selection Logic and Bump-less Mode Transitions




Sampling Logic



Centre Tap Voltage Balancing





Balancing is performed with the two currents of the downstream stage.

VELUT

EVO

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Practical Implementation



Experimental Waveforms – Comparison with Conventional PFC





Only for this measurement the same inductors of 670 μ H were used – 3 times smaller ripple of Non-Symmetric Boost PFC (allows for 3 x smaller inductor)

Mode Transitions and Voltage Swing





Smooth mode transitions and voltage swing reduced to 1/3 of previous value

Harmonics



Volume Comparison





Efficiency Comparisons with Conventional PFC





Up to 6% improvement in efficiency even though the same components were used for both prototypes (Non-optimized design of the NSMB)

Conclusions

- By utilizing flexibility much smaller, more efficient, and more reliable ac-dc (and dc-dc) converters can be designed.
- The improvements are results of tradeoff between complexity of control scheme and in some cases larger silicon utilization (favorable tradeoff)
- The mixed-signal controlled supplies can also offer some new features such as remote temperature and current estimation, on-line efficiency optimization, fault-tolerant operation, load and power supply health monitoring....



Thank You.

